

The Behavioral Scientist's Ethics Checklist

| Principle | Goal | Risk if ignored |
|---|--|--|
| #1: Aligned Interests | The company, researchers, and participants' interests are aligned. | Participants may do things that run contrary to their interests. Loss of trust in the company. |
| #2: Transparent Processes | Participants understand the plan and purpose of the research. | Loss of trust in the research process. Increased oversight on the company. |
| #3: Rigorous Evaluation | Rigorous research design and evaluation so participant time is not wasted and the company can learn and improve. | Waste of participant and company's time, effort, and resources. Lack of improvement and support for future research. |
| #4: Data Privacy and Security | Robust data privacy and security protocols to protect participants' information. | Participant's sensitive data is breached. Company faces legal problems. |
| #5: Ease of Opt Out | Participants understand how to opt out and can do so simply. | Participants feel forced or coerced to participate. Loss of trust in the company. |
| #6: Cost-Benefit Analysis | Potential benefits to the participants outweigh potential harms. | Participants are harmed by the company and researcher. |
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| Principle | Question | ✓ or X If X, what is needed to get to ✓? |
| Principle #1: Aligned Interests | Question Are the interests of our company, researchers, and participants aligned? | |
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| #1: Aligned Interests | Are the interests of our company, researchers, and participants aligned? Is our research process transparent | |
| #1: Aligned Interests #2: Transparent Processes | Are the interests of our company, researchers, and participants aligned? Is our research process transparent to the participants? Does our study design and analysis plan allow us to evaluate the | |
| #1: Aligned Interests #2: Transparent Processes #3: Rigorous Evaluation #4: Data Privacy | Are the interests of our company, researchers, and participants aligned? Is our research process transparent to the participants? Does our study design and analysis plan allow us to evaluate the effectiveness of the research? Do we have a data management plan that protects the privacy and | |